

12/1/03

DM-10/03
Sheet 1 of 2

| | | |
|--|---|--|
| (Rev. 5/92) Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary) | Attorney Docket Number: ALF-81 | Serial Number: 10/651,077 |
| | Applicant: Axel Frohwein | |
| | Filing Date: August 27, 2003 Confirmation No: 7269 | Group Art Unit: 3727 3753 |

NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:
 USSN _____, filed _____, or
 USSN _____, filed _____;
 Relied on under 35 U.S.C. Section 120, per Rule 98(d)
- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of controlled, or readily available
- (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

| U.S. PATENT DOCUMENTS | | | | | | | | | | | |
|-----------------------|------------------|---------------|---|---|---|---|---|---|---------------|--------------|--|
| EXAMINER INITIALS | PATENTEE NAME | PATENT NUMBER | | | | | | | ISSUE DATE | COPY NOTE | |
| DM | Tuckey | 4 | 5 | 6 | 9 | 6 | 3 | 7 | 2/11/1986 | 5 | |
| | Kasugai et al. | 4 | 9 | 0 | 5 | 7 | 2 | 6 | 3/6/1980 | 5 | |
| | Hyde et al. | 5 | 1 | 3 | 9 | 0 | 4 | 3 | 8/18/1992 | 5 | |
| | Tuckey | 5 | 1 | 4 | 8 | 7 | 9 | 2 | 9/22/1992 | 5 | |
| | Kasugai et al. | 5 | 4 | 0 | 2 | 8 | 1 | 8 | 4/4/1995 | 5 | |
| | Benjey et al. | 5 | 4 | 0 | 4 | 9 | 0 | 7 | 4/11/1995 | 5 | |
| | Uchida et al. | 5 | 4 | 4 | 1 | 7 | 8 | 1 | 8/15/1995 | 5 | |
| | Tachi et al. | 5 | 4 | 4 | 3 | 8 | 7 | 4 | 8/22/1995 | 5 | |
| | Harris | 5 | 4 | 4 | 9 | 0 | 1 | 8 | 9/12/1995 | 5 | |
| | Harris | 5 | 4 | 4 | 9 | 0 | 2 | 9 | 9/12/1995 | 5 | |
| | Roetker | 5 | 5 | 1 | 8 | 0 | 1 | 8 | 5/21/1996 | 5 | |
| | Kasugai et al. | 5 | 5 | 2 | 9 | 0 | 8 | 6 | 6/25/1996 | 5 | |
| | Roetker et al. | 5 | 5 | 3 | 5 | 7 | 7 | 2 | 7/16/1996 | 5 | |
| | Harris | 5 | 5 | 6 | 6 | 7 | 0 | 5 | 10/22/1996 | 5 | |
| | Kasugai et al. | 5 | 5 | 7 | 7 | 5 | 2 | 6 | 11/26/1996 | 5 | |
| | Nagino et al. | 5 | 5 | 8 | 2 | 1 | 9 | 8 | 12/10/1996 | 5 | |
| | Nagino | 5 | 5 | 9 | 8 | 8 | 7 | 0 | 2/4/1997 | 5 | |
| | Kasugai et al. | 5 | 5 | 9 | 8 | 8 | 7 | 2 | 2/4/1997 | 5 | |
| | Nagano et al. | 5 | 6 | 2 | 1 | 1 | 7 | 6 | 5/15/1997 | 5 | |
| | Kasugai et al. | 5 | 6 | 3 | 2 | 2 | 9 | 6 | 5/27/1997 | 5 | |
| | Roetker | 5 | 6 | 6 | 6 | 9 | 8 | 9 | 9/16/1997 | 5 | |
| | VanNatta et al. | 5 | 6 | 8 | 7 | 7 | 5 | 6 | 11/18/1997 | 5 | |
| | Harris | 5 | 6 | 8 | 7 | 7 | 7 | 8 | 11/18/1997 | 5 | |
| | Devall et al. | 5 | 6 | 9 | 4 | 9 | 6 | 8 | 12/9/1997 | 5 | |
| | Koshimizu et al. | 5 | 7 | 8 | 9 | 6 | 7 | 9 | 8/4/1998 | 5 | |
| | Iwasa et al. | 5 | 8 | 0 | 3 | 1 | 3 | 1 | 9/8/1998 | 5 | |
| | King et al. | 5 | 9 | 4 | 4 | 0 | 4 | 4 | 8/31/1999 | 5 | |
| | Rosas et al. | 5 | 9 | 7 | 5 | 1 | 1 | 6 | 11/2/1999 | 5 | |
| | King et al. | 6 | 0 | 3 | 5 | 8 | 8 | 4 | 3/14/2000 | 5 | |
| | Tsutsumi et al. | 6 | 0 | 3 | 7 | 0 | 6 | 2 | 3/14/2000 | 5 | |
| | Enge et al. | 6 | 0 | 5 | 8 | 9 | 6 | 3 | 5/9/2000 | 5 | |
| | King et al. | 6 | 1 | 7 | 0 | 5 | 1 | 0 | 1/9/2001 | 5 | |

12/1/03

| | | |
|--|---|---------------------------------|
| (Rev. 5/92) Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary) | Attorney Docket Number: ALF-81 | Serial Number: 10/651,077 |
| | Applicant: Axel Frohwein | |
| | Filing Date: August 27, 2003 Confirmation No: 7269 | Group Art Unit: 3721 3758 |

WRONG NUMBER
SEE PTO-892

| | | | | | | | | | | |
|----|----------------|---|---|---|---|---|---|----|-----------|---|
| DM | Foltz | 6 | 1 | 8 | 9 | 5 | 6 | 7 | 2/20/2001 | 5 |
| DM | Harris | 6 | 2 | 4 | 0 | 9 | 5 | 0 | 6/5/2001 | 5 |
| | Nishi et al. | 6 | 1 | 8 | 6 | 5 | 3 | 9 | 9/11/2001 | 5 |
| | DeCapua et al. | 6 | 4 | 2 | 2 | 6 | 1 | B1 | 7/23/2002 | 5 |
| DM | Brock et al. | 6 | 4 | 3 | 1 | 2 | 0 | 0 | 8/13/2002 | 5 |
| DM | Jahnke et al. | 6 | 5 | 0 | 8 | 2 | 6 | 3 | 1/21/2003 | 5 |
| DM | Schwochert | 6 | 5 | 4 | 3 | 4 | 2 | 6 | 4/8/2003 | 5 |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIALS | COUNTRY | DOCUMENT NUMBER | PUBLICATION DATE | TRANSLATION | | | COPY NOTE |
|-------------------|---------|-------------------|------------------|-------------|----|-----|-----------|
| | | | | YES | NO | N/A | |
| DM | WO | 03 0 0 8 2 2 4 A1 | 1/30/2003 | | | X | |
| DM | EP | 0 9 4 1 8 8 4 B1 | 2/26/2003 | | | X | |
| DM | EP | 1 2 9 1 2 2 3 A2 | 3/12/2003 | | | X | |
| DM | JP | 200304204 | 2/13/2003 | | X | | |

*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

SEE PTO-892

| EXAMINER INITIALS | OTHER DOCUMENTS | COPY NOTE |
|-------------------|---|----------------------------------|
| DM | Specify author (if any), Title, Pertinent Pages, Date & Place of Publication English Language Abstract of Japanese Patent Publication No. 200304204, published 2/13/2003, entitled "Mounting Structure for Liquid Shut-Off Valve Device" | |
| DM | U.S. Patent Application Publication No. 2003/0015235, published 1/23/2003, entitled "Installing a Valve in a Tank" | 5 |
| DM | U.S. Patent Application Publication No. 2003/0015526, published 1/23/2003, entitled "Attachment to be Attached to Fuel Tank" | 5 |
| | U.S. Serial No. 10/356,380, filed January 31, 2003, entitled "Low Permeation Weldable Fuel Tank Assembly" | |
| DM | U.S. Patent Application Publication No. 2003/0066558, published 4/10/2003, entitled "Vent Valve Structure" | 5 |
| | U.S. Patent Application Publication No. 2003/0089405, entitled "Attachment of Fuel Vapor Vent Valve to the Inside of a Fuel Tank", published 5/15/2003 | 5 |
| | U.S. Patent Application Publication No. 2003/0094763, entitled "Low Permeation Cam Lock for Plastic Fuel Tank Vapor Vent Valve", published 5/22/2003 | 5 |
| | U.S. Patent Application Publication No. 2003/0111111, entitled "Fuel Vapor Vent Valve With Peel-Off Mechanism for Ensuring Reopening", published 6/19/2003 | 5 |
| DM | U.S. Patent Application Publication No. 2003/0198768, entitled "Fuel Tank Having A Multilayer Structure", published 10/23/2003 | 5 |
| EXAMINER | <i>P. Muhohar</i> | DATE CONSIDERED 06 APRIL 2008 |
| Examiner: | initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant. | |

AUG 12 2004
PATENT & TRADEMARK OFFICE

| | | |
|--|---|---------------------------------|
| (Rev. 5/92) Supplemental Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary) | Attorney Docket Number: ALF-81 | Serial Number: 10/651,077 |
| | Applicant: Frohwein | |
| | Filing Date: August 27, 2003 Confirmation No: 7269 | Group Art Unit: 3727 3753 |

NOTE: If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]"

- (1) This item is cumulative, per Rule 98©
 (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:

USSN _____, filed _____, or
 USSN _____, filed _____;
 Relied on under 35 U.S.C. Section 120, per Rule 98(d)

- (3) Both reasons (1) and (2) apply
 (4) No legible complete copy is possessed, in custody of controlled, or readily available
 (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

| U.S. PATENT DOCUMENTS | | | | | | | | | | |
|-----------------------|---------------|---------------|---|---|---|---|---|---|------------|-----------|
| EXAMINER INITIALS | PATENTEE NAME | PATENT NUMBER | | | | | | | ISSUE DATE | COPY NOTE |
| DM | COOK | 4 | 2 | 1 | 2 | 4 | 8 | 8 | 7/15/80 | |
| DM | DAVIES, III | 4 | 2 | 1 | 4 | 7 | 6 | 7 | 7/29/80 | |
| DM | STILES ET AL. | 5 | 5 | 8 | 9 | 2 | 4 | 1 | 12/31/96 | |

| U.S. PATENT APPLICATION PUBLICATIONS | | | | | | | | | | |
|--------------------------------------|------------------|--------------------|--|--|--|--|--|--|------------------|-----------|
| EXAMINER INITIALS | APPLICANT'S NAME | PUBLICATION NUMBER | | | | | | | PUBLICATION DATE | COPY NOTE |
| | | | | | | | | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | | | | | | | | | | |
|--------------------------|--|---------|-----------------|---|---|---|---|---|---|----|------------------|-------------|----|-----|-----------|
| EXAMINER INITIALS | | COUNTRY | DOCUMENT NUMBER | | | | | | | | PUBLICATION DATE | TRANSLATION | | | COPY NOTE |
| | | | | | | | | | | | | YES | NO | N/A | |
| DM | | EPO | 0 | 7 | 4 | 2 | 0 | 9 | 6 | A2 | 11/13/96 | | | X | |
| DM | | EPO | 0 | 9 | 3 | 0 | 1 | 9 | 0 | A2 | 7/21/99 | | | X | |
| DM | | EPO | 1 | 0 | 8 | 4 | 8 | 8 | 9 | B1 | 8/11/02 | | X | | |

*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56©.

| OTHER DOCUMENTS | | | COPY NOTE |
|---|---|--|-----------|
| EXAMINER INITIALS | Specify author (if any), Title, Pertinent Pages, Date & Place of Publication | | |
| | U.S. Patent Application Serial No. 10/735,998, filed 12/15/2003, entitled: "Process for Production of a Hollow Body Connecting Element, Particularly a Fuel Tank" | | |
| EXAMINER | DATE CONSIDERED | | |
| Examiner: | APR 12 6, 2005 | | |
| Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant. | | | |

BEST AVAILABLE COPY

SFE
PR-892